# An Efficient Synthesis of 3-Hydroxychromone Using Niobium Pentachloride

Mauricio Gomes Constantino\*, Valdemar Lacerda Júnior and Gil Valdo José da Silva

Departamento de Química, Faculdade de Filosofia, Ciências e Letras de Ribeirão Preto, Universidade de São Paulo, Av. Bandeirantes

Universidade de Sao Paulo, Av. Dandemantes

3900, 14040-901 – Ribeirão Preto – SP, Brazil.

Phone +55/16 602 3747. Fax +55/16 633 8151; \* E-mail: mgconsta@usp.br

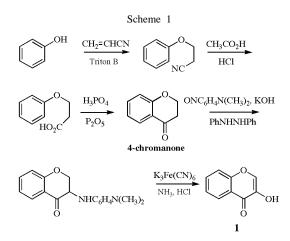
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A new and efficient 2-step synthesis of 3-hydroxychromone is described. Commercially available chromone is converted to the corresponding epoxide which is rearranged with niobium pentachloride to 3-hydroxychromone in 61% overall yield.

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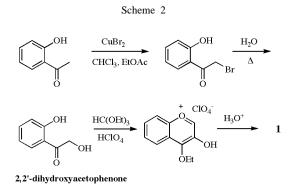
3-Hydroxychromone (1) is a chemical substance demanded for several different applications. It has been used as starting material for the synthesis of a number of biologically active natural products [1], as a substrate in studies of excited state proton transfer (ESPT) reactions [2], of fluorescence [3], of acid/base properties [4], and in studies about electronic structure [5]. This compound has the basic structure of a series of natural products, as the flavonoids substituted in positions 2 and 3, that exhibit antibacterial, antioxidant, anticancer, antiallergy, and anti-HIV activity [6].

A number of synthetic routes to 3-hydroxychromone (1) have been reported over the years, using different starting materials, with variable yields and number of steps. The most frequently used method was first described by Murata and co-workers [7] (Scheme 1): starting from phenol, compound 1 is obtained in 5 steps with an overall yield of 16%. As one of the intermediates (4-chromanone) is nowadays commercially available, it is now possible to perform only the last two steps of this synthesis to obtain 1.

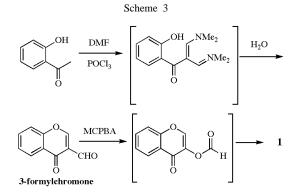


Two syntheses using *o*-hydroxyacetophenone as starting material were described. Becket and co-workers [8] (Scheme 2) used a previously described process [9] to transform the starting material into 2,2'-dihydroxy-acetophenone (2 steps) which was converted to **1** through

pyrylium salt formation and hydrolysis. A rather surprisingly high overall yield (86%) was reported for this method.

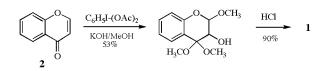


Reddy and co-workers [10] (Scheme 3) first converted *o*-hydroxyacetophenone to 3-formylchromone in 2 steps (61% yield) as previously described by Nohara and co-workers [11], and then performed a Bayer-Villiger oxidation (90% yield) to prepare **1**.



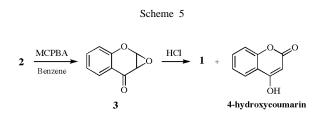
Chromone (2) is the starting material for two other syntheses. Moriarty and co-workers [12] (Scheme 4) describe an oxidation of 2 with iodobenzene diacetate followed by acidic hydrolysis, with an overall yield of 48%.





Srimannarayana and co-workers [13] (Scheme 5) prepared epoxide **3** from **2** with MCPBA; treatment of **3** with HCl gave a mixture containing 3-hydroxychromone (1) (24% yield) and 4-hydroxycoumarin as a minor by-product.

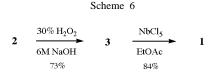
As we have recently demonstrated that niobium pentachloride can be used as Lewis acid for epoxides rearrangements [14], we decided to investigate the possibility of preparing 3-hydroxychromone through rearrangement of 3 with this reagent.



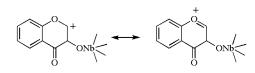
Epoxide **3** was prepared in 73% yield by reaction between chromone (**2**) and 30%  $H_2O_2$  in alkaline medium. This is a useful method for epoxidation of compounds containing a double bond conjugated with a carbonyl group, because it requires only cheap common reagents, and short reaction times (15 minutes in this case), besides giving good yields. Treatment of **3** with NbCl<sub>5</sub> (0.5 equivalent) in ethyl acetate for 1 minute gave 3-hydroxychromone (**1**) in 84% yield as a yellow solid (Scheme 6).

From these results it is clear that the epoxide ring opening, as expected, favors the formation of a carbocation stabilized by the neighboring oxygen atom (Scheme 7).

This is an efficient method for preparing 3-hydroxychromone because only 2 steps are required, a good overall yield (61%) is obtained and both reactions can be performed in very short times at room temperature.







## EXPERIMENTAL

General.

Chromone (2) was purchased from Aldrich Chemical Co. and used without further purification. Melting points are uncorrected. The nmr spectra were measured using a Bruker DRX-400 (400 MHz for <sup>1</sup>H nmr and 100 MHz for <sup>13</sup>C nmr); deuteriochloroform was used as solvent and tetramethylsilane as internal standard. GC-MS spectra were obtained by EI ionization at 70 eV on a HP-5988-A spectrometer. Infrared (ir) spectra were measured with a Perkin Elmer Spectrum RX I FT-IR System, and the most intense or representative bands are reported (in cm<sup>-1</sup>). TLC was performed on plates precoated with silica gel 60 F<sub>254</sub> (0.25 mm thick, Merck).

## Chromone epoxide (3)

To a solution containing chromone (2) (1.0 g, 6.8 mmol) and 30 % aqueous hydrogen peroxide (2.0 mL, 21 mmol) in methanol (8 mL) was added drop by drop a 6 M aqueous solution of sodium hydroxide (0.60 mL, 3.3 mmol). During the addition and afterward the temperature of the reaction mixture was maintained at 15-20 °C with a water bath by occasional addition of small portions of ice. After 15 minutes the mixture was diluted with water (8 mL) and extracted with ethyl ether (2 x 10 mL). The organic layer was washed with water and dried over anhydrous magnesium sulfate. The solvent was removed under vacuum and the product was purified by recrystallization using a mixture of methanol and hexane as solvent. Yield of compound 3 as a white solid: 0.805 g (73%), mp 63-64 °C (lit. [15] 65-66 °C); <sup>1</sup>H nmr (400 MHz, deuteriochloroform):  $\delta$  7.92 (dd, 1H, J<sub>1</sub>= 8.0 and J<sub>2</sub>= 1.7 Hz); 7.59 (ddd, 1H,  $J_1$ = 8.0;  $J_2$ = 7.1 and  $J_3$ = 1.7 Hz); 7.18 (ddd, 1H,  $J_1 = 8.0$ ;  $J_2 = 7.1$  and  $J_3 = 1.0$  Hz); 7.08 (dd, 1H,  $J_1 = 8.4$ and J<sub>2</sub>= 1.0 Hz); 5.69 (d, 1H, J= 2.3 Hz); 3.73 (d, 1H, J= 2.3 Hz); <sup>13</sup>C nmr (100 MHz, deuteriochloroform): δ 188.2 (C=O); 155.4 (C); 136.3 (CH); 127.2 (CH); 123.4 (CH); 119.8 (C); 118.0 (CH); 77.2 (CH); 55.4 (CH); ir (film) v<sub>max</sub>: 3054; 2933; 1679; 1607; 1578; 1472; 1383; 1221; 1137; 1005 cm<sup>-1</sup>; ms *m/z* (rel. intensity) (%): 162 [M<sup>+</sup>] (65); 134 (99); 121 (26); 105 (100); 77 (72); 63 (36); 51 (37); 29 (76).

### 3-Hydroxychromone (1)

To a solution of niobium pentachloride (0.135 g, 0.500 mmol) in anhydrous ethyl acetate (1 mL) maintained at room temperature under nitrogen atmosphere, was added a solution of the epoxide **3** (0.162 g, 1.00 mmol) in anhydrous ethyl acetate (1 mL). After 1 min, the reaction mixture was quenched with a 10% aqueous citric acid solution (2.0 mL). The mixture was diluted with water (5 mL) and ethyl acetate (10 mL), the organic layer was separated and washed with 5% aqueous sodium bicarbonate (3 x 10 mL), saturated brine (2 x 10 mL), and dried over anhydrous magnesium sulfate. The solvent was removed under vacuum and the product was purified by recrystallization

using a mixture of methanol and hexane as solvent. Yield of compound **3** as a yellow solid: 0.136 g (84%), mp 179-180 °C (lit. [16] 181 °C); <sup>1</sup>H nmr (400 MHz, deuteriochloroform):  $\delta$  8.23 (dd, 1H, J<sub>1</sub>=8.0 and J<sub>2</sub>=1.5 Hz); 8.03 (s, 1H); 7.69 (ddd, 1H, J<sub>1</sub>= 8.6; J<sub>2</sub>= 7.1 and J<sub>3</sub>= 1.5 Hz); 7.50 (d, 1H, J= 8.6 Hz); 7.41 (ddd, 1H, J<sub>1</sub>= 8.0; J<sub>2</sub>= 7.1 and J<sub>3</sub>= 1.5 Hz); <sup>13</sup>C nmr (100 MHz, deuteriochloroform):  $\delta$  174.0 (C=O); 156.3 (C); 142.1 (C); 139.9 (CH); 133.6 (CH); 125.5 (CH); 124.8 (CH); 122.5 (C); 118.6 (CH); ir (film) v<sub>max</sub>: 3282; 3043; 1637; 1607; 1565; 1472; 1421; 1285; 1154 cm<sup>-1</sup>; ms *m*/*z* (rel. intensity) (%): 162 [M<sup>+</sup>] (71); 134 (29); 120 (10); 105 (100); 77 (48); 51 (39); 50 (44); 29 (48).

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